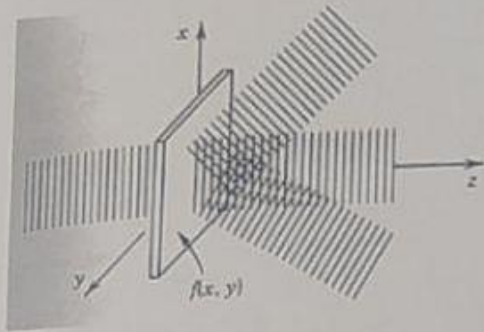


$$U(x, y, z) = \iint F(v_x, v_y) \exp[-j2\pi(v_x x + v_y y)] \exp(-j\pi z \sqrt{\lambda^2 - v_x^2 - v_y^2}) dx dy$$

$$k_z = \sqrt{k^2 - k_x^2 - k_y^2} = 2\pi \sqrt{\frac{1}{\lambda^2} - \frac{1}{\lambda_x^2} - \frac{1}{\lambda_y^2}}$$

→ An incident plane wave is decomposed into many plane waves, each traveling at angles  $\theta_x = \sin^{-1}(\lambda v_x)$ ,  $\theta_y = \sin^{-1}(\lambda v_y)$ , with a complex envelope  $F(v_x, v_y)$ , the Fourier transform of  $f(x, y)$ .



**Figure 4.1-3** A thin optical element of amplitude transmittance  $f(x, y)$  decomposes an incident plane wave into many plane waves. The plane wave traveling at the angles  $\theta_x = \sin^{-1} \lambda v_x$  and  $\theta_y = \sin^{-1} \lambda v_y$  has a complex envelope  $F(v_x, v_y)$ , the Fourier transform of  $f(x, y)$ .

### Example 4.1-2, Imaging

$$t(x, y) = \exp\left(j \frac{\pi x^2}{\lambda f}\right) = \exp(-j2\pi\phi(x, y))$$

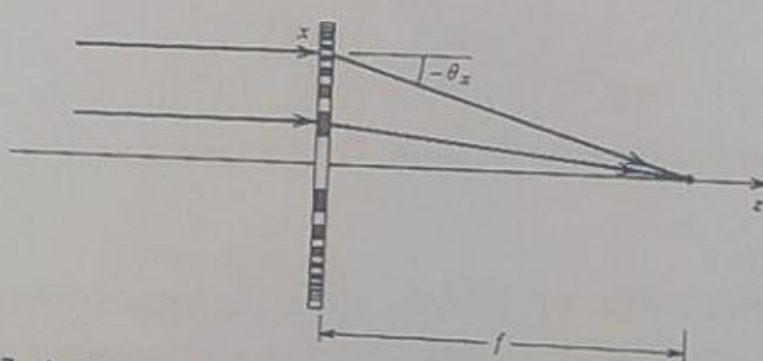
$$\phi(x, y) = -\frac{x^2}{2\lambda f}$$

Compare to earlier:  $\phi(x, y) \leftrightarrow v_x x + v_y y$

$$\text{Now } v_x \text{ varies with } x \Rightarrow v_x = \frac{\partial \phi(x, y)}{\partial x} = -\frac{x}{\lambda f}$$

$$\Rightarrow \theta_x = \sin^{-1}\left(-\frac{x}{f}\right)$$

→ A cylindrical lens with focal length  $f$



**Figure 4.1-7** A transparency with transmittance  $f(x, y) = \exp(j\pi x^2/\lambda f)$  bends the wave at position  $x$  by an angle  $\theta_x = -x/f$  so that it acts as a cylindrical lens with focal length  $f$ .

4

نسبت طیف توانی عبور کرده به عبوری  $E_t/E_i$  را  $\Gamma$  میگویند

$$\frac{I_t}{I_i} = \Gamma$$

در صورت  $\frac{1}{2}$   $\Rightarrow$  عبور کامل نور

$$m\lambda d \Rightarrow m\lambda d = d \sin \theta = d \frac{k_y}{f}$$

$d$  دور بینگی نور است

$$k_m = m \left( \frac{d f}{d} \right) \quad , \quad k_y = \frac{k_x}{f}$$

$$k = \frac{2\pi}{\lambda}$$

$$v_y = \frac{1}{\lambda_y} = \frac{k_y}{2\pi} = \frac{k_x}{f 2\pi} = \frac{k}{2\pi f} \frac{m d f}{d}$$

$$v_y = \frac{m}{d} \Rightarrow v_y = m \cdot v_{DC}$$

است  $\leftarrow$  DC

$$v_y = \frac{1}{d}$$

$m=1$  (مورد اول) در دو طرف عبور می کند

در دو طرف عبور می کند  $m > 1$

$$v_{y_m} = m v_y$$

$$f(x) = \frac{1}{2} + \sum_{m=1}^{\infty} \left[ \frac{2}{m\pi} \sin \frac{m\pi}{2} \right] \cos m\pi x$$

$$f(y) = \frac{1}{2} + \sum_{m=1}^{\infty} \left[ \frac{2}{m\pi} \sin \frac{m\pi}{2} \right] \cos mky$$

$$f(y) = \frac{1}{2} + \frac{2}{\pi} \left( \cos ky + \frac{1}{3} \cos 3ky + \frac{1}{5} \cos 5ky + \dots \right)$$

در صورت  $k=0$   $\leftarrow$  DC  $\leftarrow$  در دو طرف عبور می کند

$\ln(x) = e^{-ax}$

$F(k) = \int_{-\infty}^{\infty} e^{-kx/a} dx = \frac{1}{a} \int_{-\infty}^{\infty} e^{-\beta} d\beta$

$\beta = x/a - ik$

$k_1 = 2\pi/d$

در صورت  $k_1 = 2\pi/d$



حکایتی استنباطی ← فتوای استنباطی در صورتی که در فتوای استنباطی استنباطی است

در کتاب این فتوای استنباطی در صورتی که در فتوای استنباطی است

فتوای استنباطی در صورتی که در فتوای استنباطی است

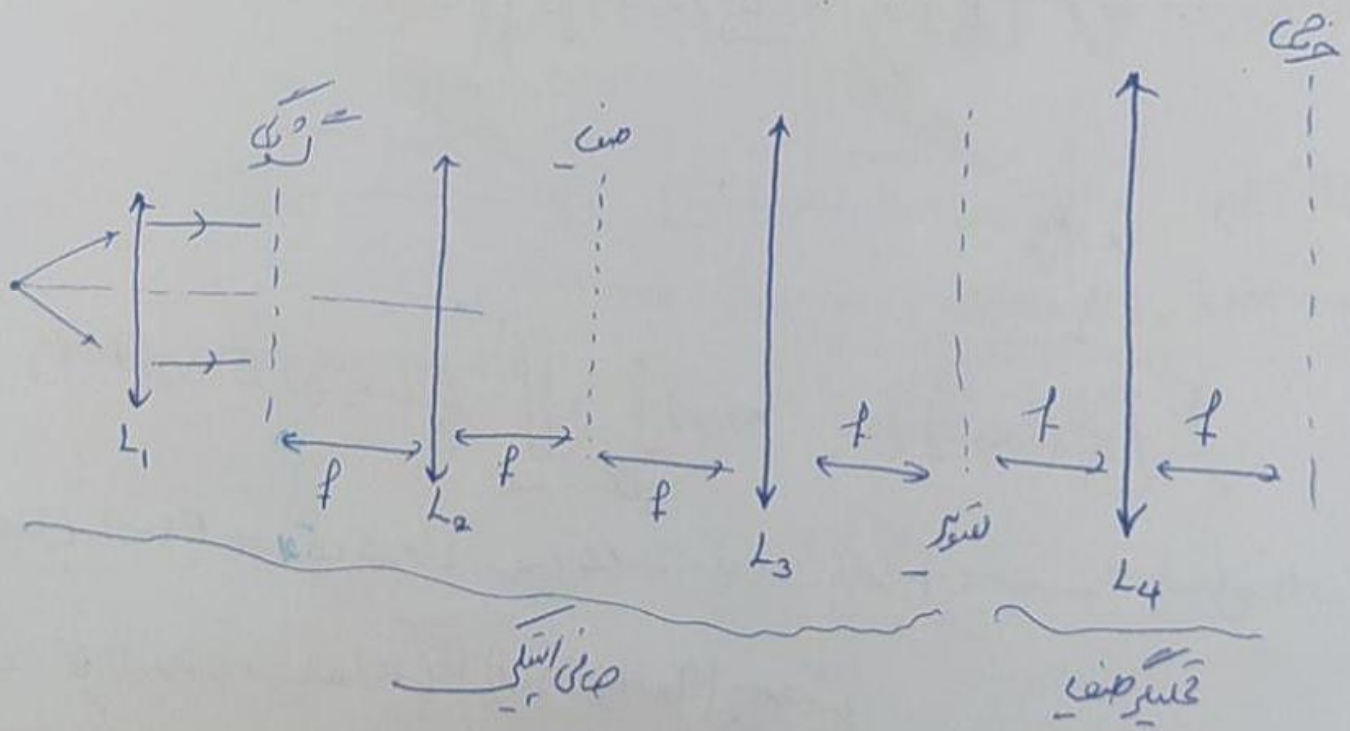
فتوای استنباطی در صورتی که در فتوای استنباطی است  
① فتوای استنباطی در صورتی که در فتوای استنباطی است  
② فتوای استنباطی در صورتی که در فتوای استنباطی است

م این استنباطی در صورتی که در فتوای استنباطی است

فتوای استنباطی

که فتوای استنباطی در صورتی که در فتوای استنباطی است

انباری در آن استنباطی (فتوای استنباطی) در صورتی که در فتوای استنباطی است



- اگر دو تابع  $E_1$  و  $E_2$  باشند و صورتی که  $E_1$  و  $E_2$  در یک سطح باشند

عوض کردن  $E_1$  با  $E_2$  است  $\Leftrightarrow$  همگنی و همبستگی است

- اگر  $E_1$  و  $E_2$  در یک سطح باشند  $\Leftrightarrow$  عوض کردن  $E_1$  با  $E_2$  است  $\Leftrightarrow$  همگنی و همبستگی است

در حالت اول  $E_1$  و  $E_2$  همگنی و همبستگی است

در حالت دوم

$E_1(x, y) \rightarrow$  تابع  $E_1$  در  $(x, y)$  است

همگنی و همبستگی است  $\rightarrow$  تغییر در  $E_1$  در  $(-x, -y)$  است

$E_2(x, y) \rightarrow$  تابع  $E_2$  در  $(x, y)$  است

در حالت اول:  $E_1(-x, -y) E_2(x, y)$

$$F[E_1(-x, -y) E_2(x, y)] = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} E_1(-x, -y) E_2(x, y) e^{i(xk_x + yk_y)} dx dy$$

DC  $k_x, k_y = 0$   
(در  $E_1$  و  $E_2$ )

$$F[E_1(-x, -y) E_2(x, y)] = \int_{DC} \int_{-\infty}^{+\infty} E_1(-x, -y) E_2(x, y) dx dy$$

$E_1(x, y)$  و  $E_2(x, y) \Leftrightarrow$  امکان آنها در  $(x, y)$  است که در  $(-x, -y)$  است

همگنی و همبستگی است (همبستگی  $(x, y)$  و  $(-x, -y)$ )

Based on harmonic analysis (Fourier transform) and linear system (superposition).

An arbitrary function

$$f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} F(v_x, v_y) \exp[-j2\pi(v_x x + v_y y)] dv_x dv_y$$

→ Superposition, or integral of harmonic functions of  $x$  and  $y$ .

$F(v_x, v_y)$ : Complex amplitude

$v_x, v_y$ : Spatial frequency (cycles/unit length)

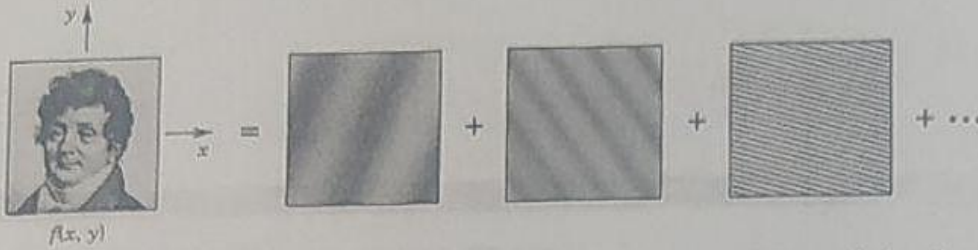


Figure 4.0-2 An arbitrary function  $f(x, y)$  may be analyzed as a sum of harmonic functions of different spatial frequencies and complex amplitudes.

Compare this with plane wave

$$U(x, y, z) = A \exp[-j(k_x x + k_y y + k_z z)]$$

$$U(x, y, 0) = A \exp[-j2\pi(v_x x + v_y y)]$$

$$v_x \leftrightarrow k_x / 2\pi, \quad v_y \leftrightarrow k_y / 2\pi$$

an arbitrary function can be analyzed as a superposition of harmonic functions. An arbitrary traveling wave  $U(x, y, z)$  may be analyzed as a sum of plane waves!

### Propagation of Light in Free Space

Correspondence Between the Spatial Harmonic Function and the Plane Wave

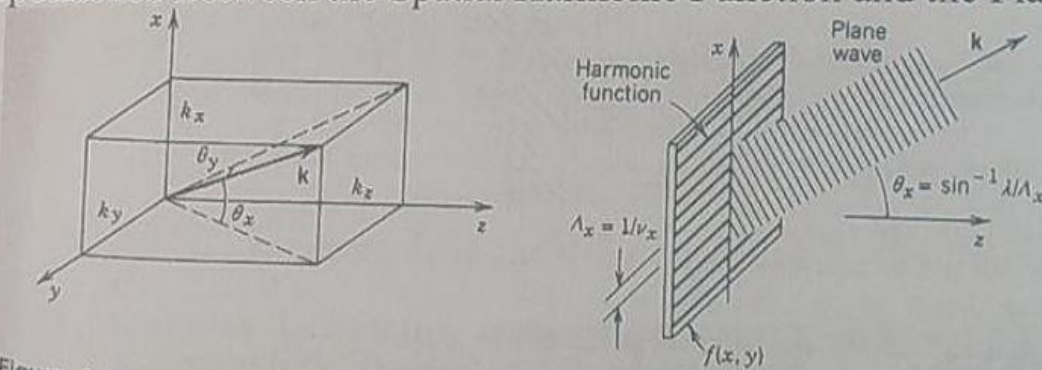


Figure 4.1-1 A harmonic function of spatial frequencies  $v_x$  and  $v_y$  at the plane  $z = 0$  is consistent with a plane wave traveling at angles  $\theta_x = \sin^{-1} \lambda v_x$  and  $\theta_y = \sin^{-1} \lambda v_y$ .

$$\theta_x = \sin^{-1}\left(\frac{k_x}{k}\right) = \sin^{-1}(\lambda v_x) \quad (4.1-1)$$

$$\theta_y = \sin^{-1}\left(\frac{k_y}{k}\right) = \sin^{-1}(\lambda v_y)$$

A physical way of picturing the spatial harmonic function is to project a plane wave on the  $x$ - $y$  plane.

$$\Lambda_x = 1/v_x, \quad \Lambda_y = 1/v_y$$

$$\rightarrow \theta_x = \sin^{-1}\left(\frac{\lambda}{\Lambda_x}\right), \quad \theta_y = \sin^{-1}\left(\frac{\lambda}{\Lambda_y}\right)$$

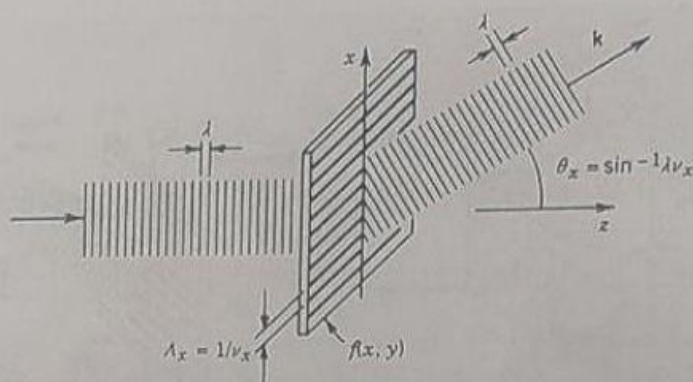
Paraxial approximation:

$$\theta_x = \frac{\lambda}{\Lambda_x} = \lambda v_x, \quad \theta_y = \frac{\lambda}{\Lambda_y} = \lambda v_y \quad (4.1-2)$$

### Spatial spectral analysis

(Response of a plane wave after a thin optical element.)

Consider a simple case:



**Figure 4.1-2** A thin element whose amplitude transmittance is a harmonic function of spatial frequency  $v_x$  (period  $\Lambda_x = 1/v_x$ ) bends a plane wave of wavelength  $\lambda$  by an angle  $\theta_x = \sin^{-1}(\lambda v_x) = \sin^{-1}(\lambda/\Lambda_x)$ .

$$t(x, y) = \exp[-j2\pi(v_x x + v_y y)]$$

→ Harmonic function on  $x$ - $y$  plane with period  $\Lambda_x = 1/v_x, \Lambda_y = 1/v_y$ .

$$U(x, y, z) = A \exp[-j2\pi(v_x x + v_y y)] \exp(-jk_z z)$$

→ Output wave is bent with angles  $\theta_x = \sin^{-1}(\lambda v_x), \theta_y = \sin^{-1}(\lambda v_y)$ .

The harmonic function pattern works like a grating.

we consider a general case:

$$t(x, y) = \iint F(v_x, v_y) \exp[-j2\pi(v_x x + v_y y)] dv_x dv_y \quad (4.1-4)$$



Figure 14: Ronchi Ruling at  $90^\circ$

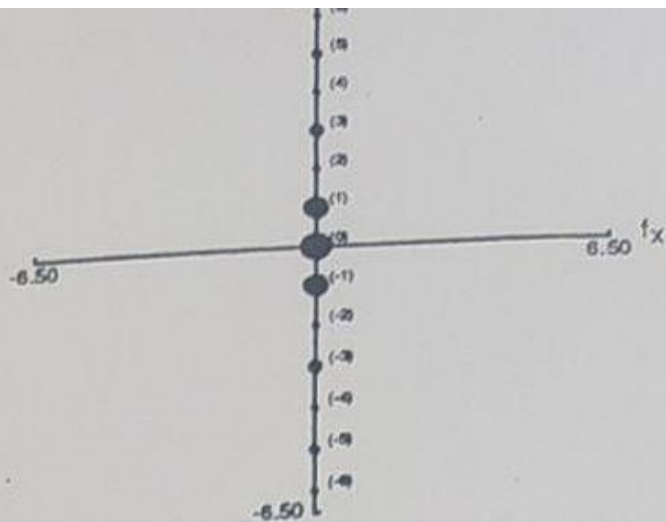


Figure 15: Fourier transform of Ronchi ruling at  $90^\circ$

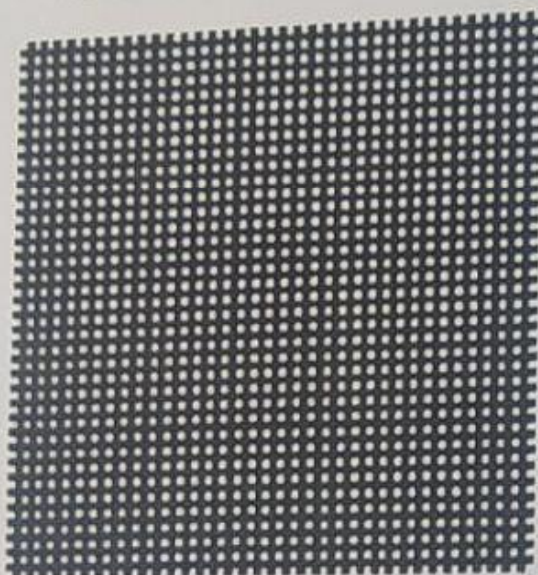


Figure 16: Crossed Ronchi rulings at  $0^\circ$  and  $90^\circ$

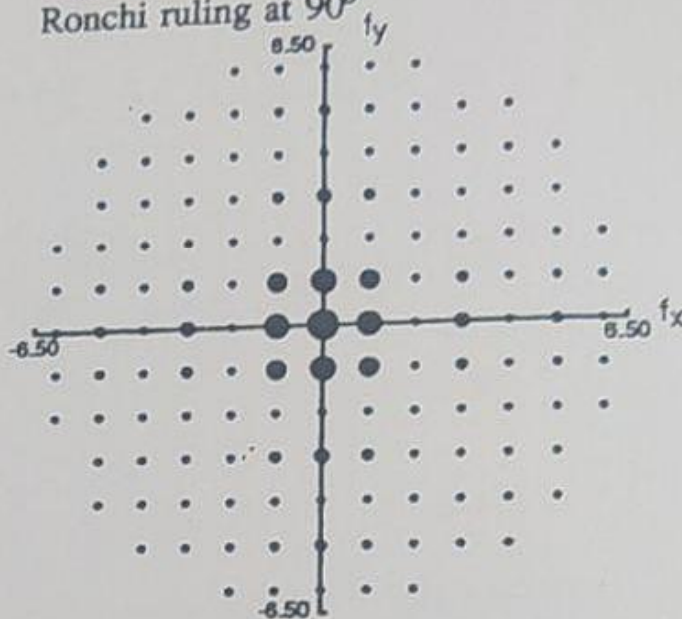


Figure 17: Fourier transform of crossed Ronchi rulings at  $0^\circ$  and  $90^\circ$



Figure 18: Crossed Ronchi rulings at  $0^\circ$ ,  $90^\circ$  and  $15^\circ$

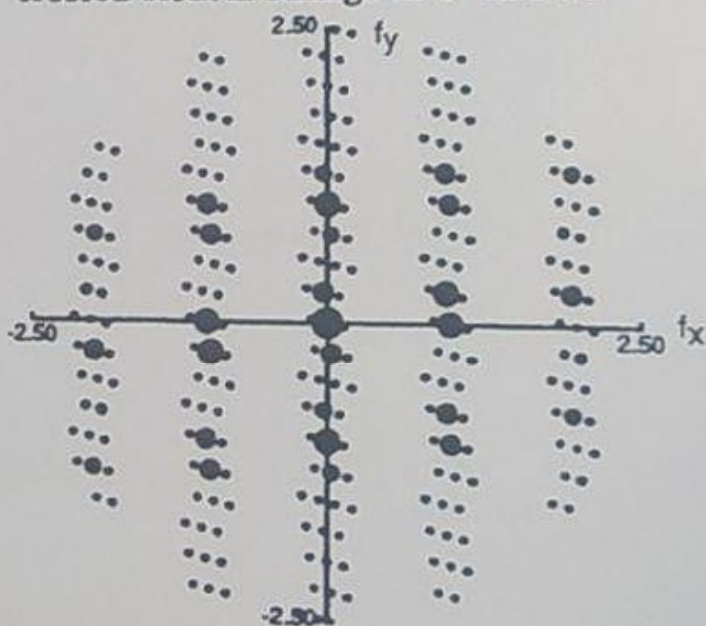


Figure 19: Fourier transform of crossed Ronchi rulings at  $0^\circ$ ,  $90^\circ$  and  $15^\circ$



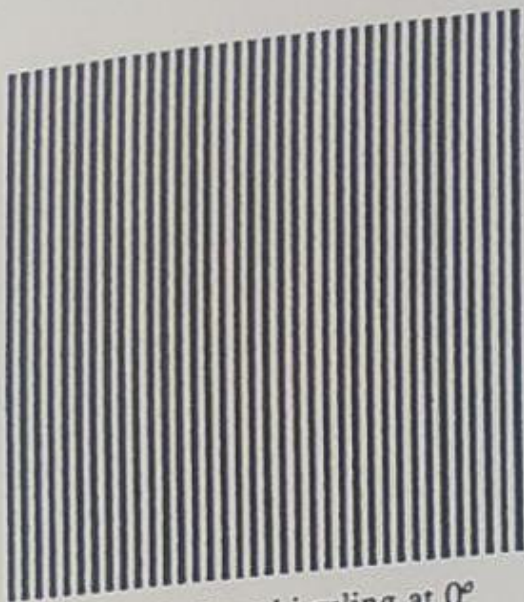


Figure 3: Ronchi ruling at  $0^\circ$

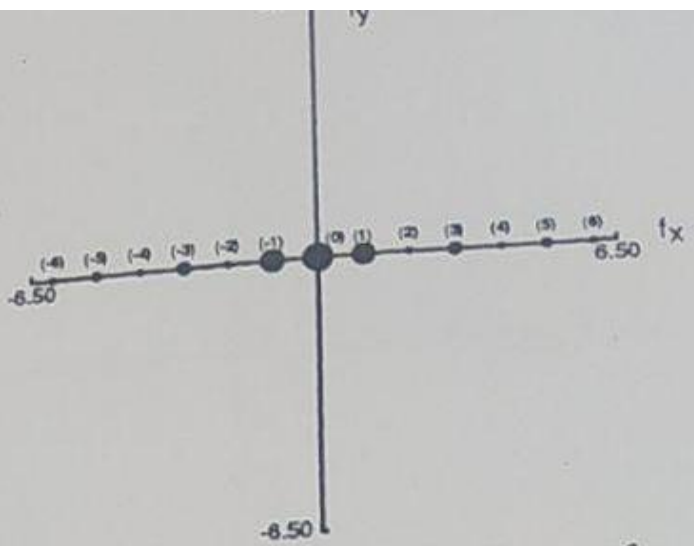


Figure 4: Fourier transform of Ronchi ruling at  $0^\circ$



Figure 5: Ronchi ruling at  $15^\circ$

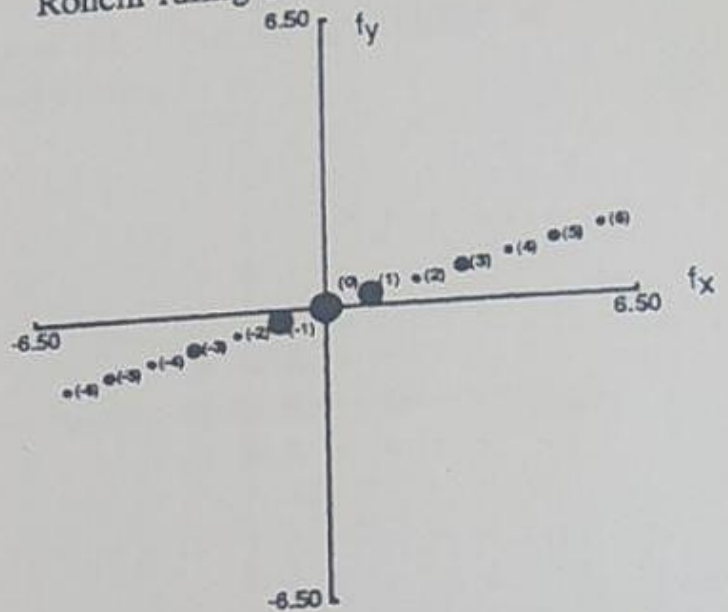


Figure 6: Fourier transform of Ronchi ruling at  $15^\circ$



Figure 7: Crossed Ronchi rulings at  $0^\circ$  and  $15^\circ$

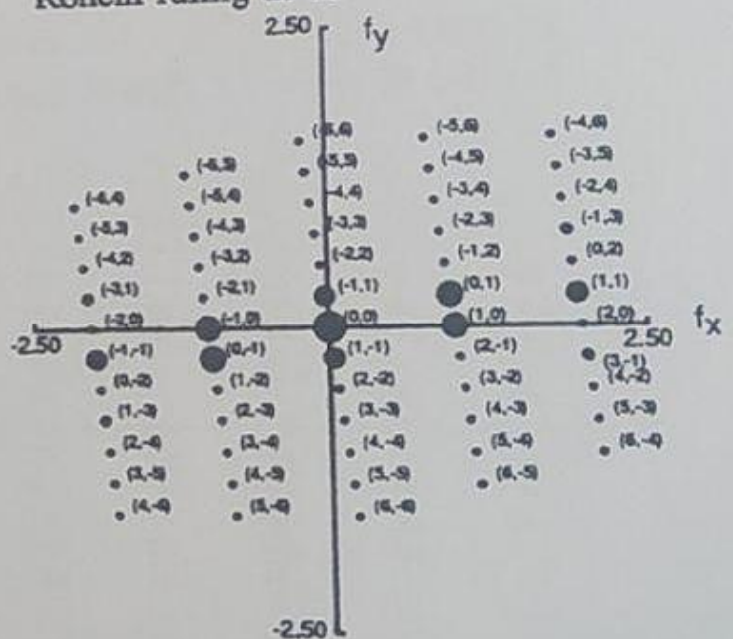


Figure 8: Fourier transform of crossed Ronchi rulings at  $0^\circ$  and  $15^\circ$

Assume the incident wave is a plane wave of intensity  $I_i$  in  $z$ -direction. Using Eq. (4.2-1), Fraunhofer approximation, we obtain:

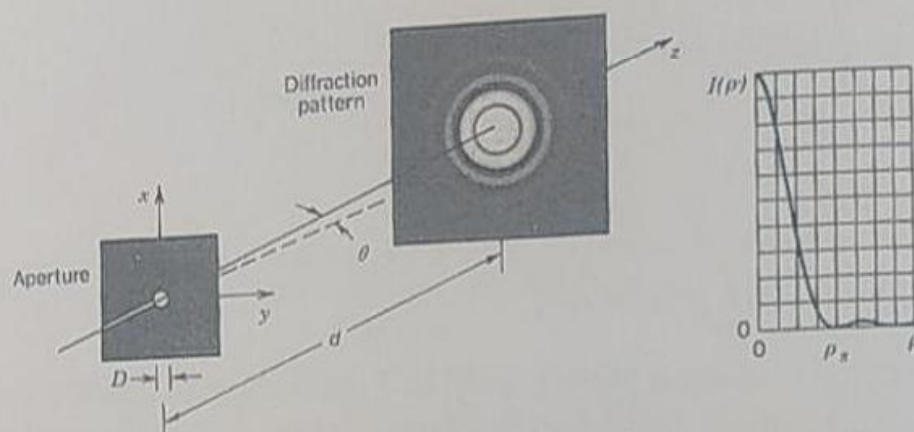
$$I(x, y) = \frac{I_i}{(\lambda d)^2} \left| P\left(\frac{x}{\lambda d}, \frac{y}{\lambda d}\right) \right|^2 \quad (4.3-4)$$

→ Proportional to the squared magnitude of the Fourier transform of the aperture function  $p(x, y)$  evaluated at the spatial frequency  $\nu_x = \frac{x}{\lambda d}, \nu_y = \frac{y}{\lambda d}$ .

Example: Fraunhofer diffraction from a circular aperture

$$I(\rho) = \left(\frac{\pi D^2}{4\lambda d}\right)^2 I_i \left[ \frac{2J_1(\pi D \rho / \lambda d)}{\pi D \rho / \lambda d} \right]^2 \quad (4.3-7)$$

→ Airy pattern. Center disk (Airy disk) has radius  $\rho_s = 1.22\lambda d / D$ , subtending an angle  $\theta = 1.22\lambda / D$ .



**Figure 4.3-4** The Fraunhofer diffraction pattern from a circular aperture produces the Airy pattern with the radius of the central disk subtending an angle  $\theta = 1.22\lambda / D$ .

## Fresnel Diffraction

At small distance ( $d \rightarrow 0$ ), the diffraction pattern is the shadow of the aperture. At medium distance (Fresnel diffraction), the diffraction pattern is the convolution of the aperture. Using Eq. (4.1-14), free-space propagation as a convolution, we obtain:

$$I(x, y) = \frac{I_i}{(\lambda d)^2} \left| \iint p(x', y') \exp\left[-j\pi \frac{(x-x')^2 + (y-y')^2}{\lambda d}\right] dx' dy' \right|^2 \quad (4.3-11)$$

At large  $d$ , the diffraction pattern becomes Fraunhofer diffraction pattern. The far field has an angular divergence proportional to  $\lambda / D$ , where  $D$  is the diameter of the aperture.

Amplitude of the plane wave with direction  $(\theta_x, \theta_y) = (\lambda v_x, \lambda v_y)$  is proportional to the Fourier transform  $F(v_x, v_y)$  and is located at the point  $(x, y) = (\theta_x f, \theta_y f) = (\lambda f v_x, \lambda f v_y)$ .

$$\rightarrow g(x, y) \propto F\left(\frac{x}{\lambda f}, \frac{y}{\lambda f}\right) \quad (4.2-5)$$

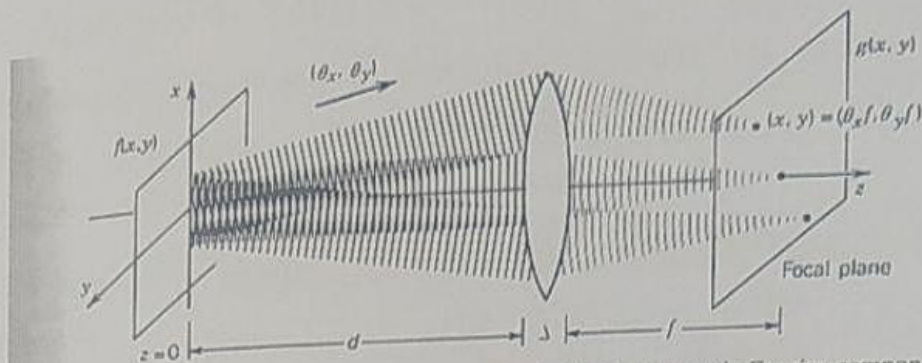


Figure 4.2-3 Focusing of the plane waves associated with the harmonic Fourier components of the input function  $f(x, y)$  into points in the focal plane. The amplitude of the plane wave with direction  $(\theta_x, \theta_y) = (\lambda v_x, \lambda v_y)$  is proportional to the Fourier transform  $F(v_x, v_y)$  and is focused at the point  $(x, y) = (\theta_x f, \theta_y f) = (\lambda f v_x, \lambda f v_y)$ .

$$g(x, y) = \frac{j}{\lambda f} \exp[-jk(d+f)] \exp\left[j\pi \frac{(x^2 + y^2)(d-f)}{\lambda f^2}\right] F\left(\frac{x}{\lambda f}, \frac{y}{\lambda f}\right) \quad (4.2-8)$$

$$I(x, y) = \frac{1}{|\lambda f|^2} \left| F\left(\frac{x}{\lambda f}, \frac{y}{\lambda f}\right) \right|^2 \quad (4.2-9)$$

$$\text{If } d = f, \quad g(x, y) = \frac{j}{\lambda f} \exp[-j2kf] F\left(\frac{x}{\lambda f}, \frac{y}{\lambda f}\right) \quad (4.2-10)$$

Fourier transform using a lens is valid in Fresnel approximation (only radius at the output is limited). Without the lens, we need Fraunhofer approximation (radii at both output and input are limited).

### 4.3 Diffraction of Light

Light not simply blocked by an opaque object, as in Ray Optics. It depends on the wavelength, the dimension of the object, and the distance between the object and the observation plane.

#### A. Fraunhofer Diffraction

Aperture function  $p(x, y)$ , with Fourier components  $P(v_x, v_y) = P\left(\frac{x}{\lambda d}, \frac{y}{\lambda d}\right)$ .

$$g(x, y) = h_0 \exp\left(-j\pi \frac{x^2 + y^2}{\lambda d}\right) F\left(\frac{x}{\lambda d}, \frac{y}{\lambda d}\right) \quad (4.2-4)$$

Furthermore, if we limit our interest to points at the output plane within a circle of radius  $a$  centered about the  $z$  axis, so that  $N_F = a^2/\lambda d \ll 1$  for  $g(x, y)$ .

$$g(x, y) = h_0 F\left(\frac{x}{\lambda d}, \frac{y}{\lambda d}\right) \quad (4.2-1)$$

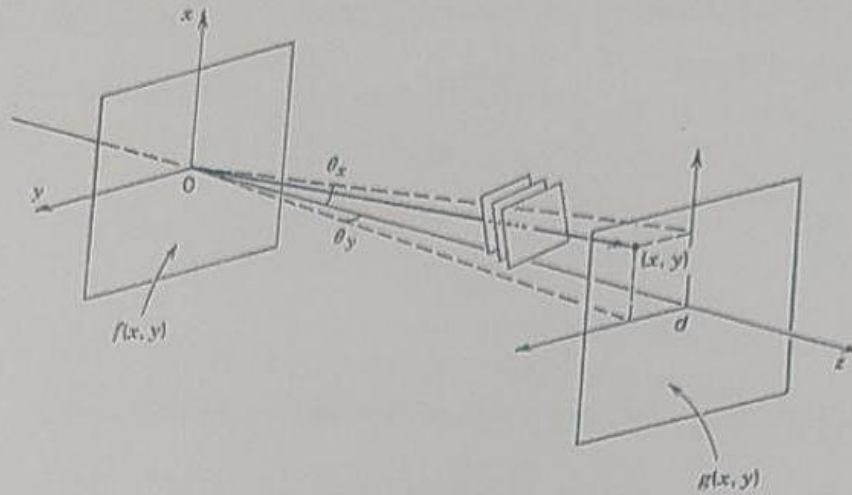


Figure 4.2-1 When the distance  $d$  is sufficiently long, the complex amplitude at point  $(x, y)$  in the  $z = d$  plane is proportional to the complex amplitude of the plane-wave component with angles  $\theta_x = x/d \approx \lambda v_x$  and  $\theta_y = y/d \approx \lambda v_y$ , i.e., to the Fourier transform  $F(v_x, v_y)$  of  $f(x, y)$ , with  $v_x = x/\lambda d$  and  $v_y = y/\lambda d$ .

The only plane wave that contributes to the complex amplitude at  $(x, y)$  at output plane is the wave making angles  $\theta_x = x/d, \theta_y = y/d$  with the optical axis.

This is also the wave with wave-vector components  $k_x = (x/d)k, k_y = (y/d)k$  and complex amplitude  $F(v_x, v_y)$  with  $v_x = x/\lambda d, v_y = y/\lambda d$ .

Fraunhofer approximation is valid when both  $N_F$  and  $N_F'$  are small.

### Fourier Transform Using a Lens

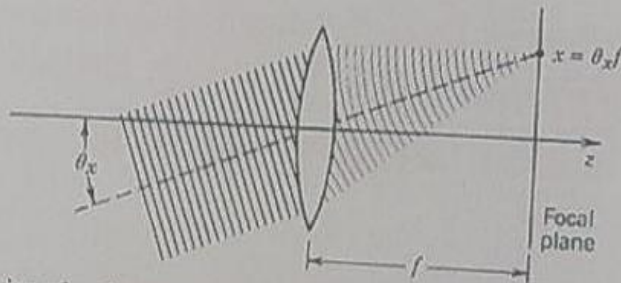


Figure 4.2-2 Focusing of a plane wave into a point. A direction  $(\theta_x, \theta_y)$  is mapped into a point  $(x, y) = (\theta_x f, \theta_y f)$ .

(2) Complex envelopes of the plane-wave components in the output plane =  $H(v_x, v_y)F(v_x, v_y)$

(3) 
$$g(x, y) = \iint H(v_x, v_y)F(v_x, v_y) \exp[-j2\pi(v_x x + v_y y)] dv_x dv_y$$

Under Fresnel approximation,

$$g(x, y) = H_0 \iint F(v_x, v_y) \exp[j\pi\lambda d(v_x^2 + v_y^2)] \exp[-j2\pi(v_x x + v_y y)] dv_x dv_y$$

$$H_0 \equiv \exp(-jkd)$$

### Free-space propagation as a convolution

Each point generates a spherical wave. Under Fresnel approximation (observation point close to the propagation axis), spherical wave  $\rightarrow$  parabolic wave.

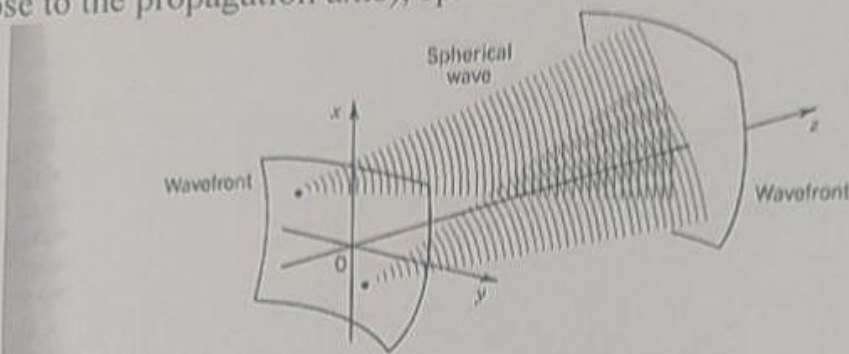


Figure 4.1-12 The Huygens-Fresnel principle. Each point on a wavefront generates a spherical wave.

$$h(x, y) \approx h_0 \exp\left[-jk \frac{x^2 + y^2}{2d}\right] \quad (4.1-13)$$

$$h_0 = \frac{j}{\lambda d} \exp(-jkd)$$

$$g(x, y) = h_0 \iint f(x', y') \exp\left[-j\pi \frac{(x-x')^2 + (y-y')^2}{\lambda d}\right] dx' dy' \quad (4.1-14)$$

## 4.2 Optical Fourier Transform

A plane wave transmitting through an optical element can be used to decompose the harmonic functions (Fourier components  $F(v_x, v_y)$ ) that compose the pattern ( $f(x, y)$ ) on the optical element.

### A. Fourier Transform in the Far Field (Fraunhofer Approximation)

If  $f(x, y)$  is confined to a small area of radius  $b$ , distance  $d$  to the observation plane is sufficiently large, so that Fresnel number for  $f(x, y)$ ,  $N_F' = b^2/\lambda d \ll 1$ .

## B. Transfer Function of Free Space

Since an arbitrary function can be analyzed as sum of harmonic functions, we consider a harmonic input function.

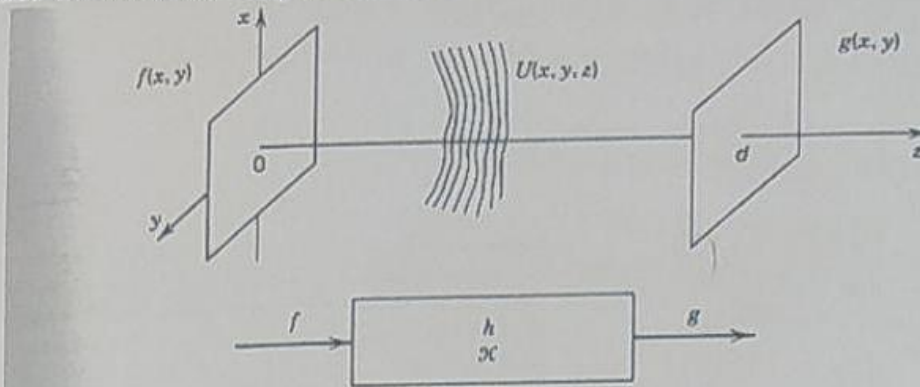


Figure 4.1-9 Propagation of light between two planes is regarded as a linear system whose input and output are the complex amplitudes of the wave in the two planes.

$$f(x, y) = U(x, y, 0) = A \exp[-j2\pi(v_x x + v_y y)]$$

Output

$$g(x, y) = U(x, y, d) = A \exp[-j(k_x x + k_y y + k_z d)]$$

$$H(v_x, v_y) = \frac{g(x, y)}{f(x, y)} = \exp(-jk_z d)$$

$$= \exp \left[ -j2\pi \left( \frac{1}{\lambda^2} - v_x^2 - v_y^2 \right)^{1/2} d \right] \quad (4.1-6)$$

### Fresnel approximation

$$v_x^2 + v_y^2 \ll \frac{1}{\lambda^2}$$

→ The plane-wave components of the propagating light make small angles  
 $\theta_x \sim \lambda v_x, \theta_y \sim \lambda v_y$ .

→ Paraxial waves:

$$H(v_x, v_y) = \exp(-jkd) \exp \left[ j\pi\lambda d (v_x^2 + v_y^2) \right] \quad (4.1-8)$$

Validity of Fresnel approximation has the same expression as in Sec. 2.2.

### Input-output relation

Given the input function  $f(x, y)$ , how to obtain the output  $g(x, y)$ :

- (1) Determine the complex envelopes of the plane-wave components in the input plane by Fourier transform.

$$F(v_x, v_y) = \int_{-\infty-\infty}^{\infty \infty} \int f(x, y) \exp[j2\pi(v_x x + v_y y)] dx dy$$